



ALPHA
BUILDING CONTROL

Independence Policy

Document Classification	Confidential
Write Access	Directors
Read Only Access	All Alpha Building Control Ltd employees
Use	All Alpha Building Control Ltd employees
Disposal	Hard copies must be disposed of in confidential waste bin or shredded.
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Policy Version Control

Version	Date	Author	Approver	Change Description
1.0	11/01/21	JA	JA	New issue
1.1	06/04/23	JA	JA	Minor work added
1.2	06/04/23	JA	JA	New BCPS business and professional ethics added as per CICAIR request
1.3	06/09/24	JA	JA	Review and Update

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Introduction

The policy outlines the actions Alpha Building Control Ltd as a Registered Building Control Approver (RBCA) take to ensure the independence of all Building Control functions.

Regulation 3 (1) of the Building (Registered Building Control Approvers etc.) (England) Regulations 2024, "Approvers must have no professional or financial interest in the work they supervise.

Regulation 3 expands further and details who would constitute as having a professional or financial interest through 3 (2) (3) and (4).

Reputation is paramount to Alpha to maintain the integrity of the profession. Therefore, maintaining honesty and integrity is essential.

Independence of Alpha Building Control Ltd

Alpha will carry out The Building Control Function only, where appointed. Alpha is required under the Professional conduct rules for registered building control approvers to under standard 1.4 "You must maintain professional independence and impartiality in the conduct of work activities"

ABC has a Senior Leadership Team which is committed to providing an impartial and professional service following the requirements of Professional conduct rules for registered building control approvers, and Regulation 3 of the RBCA regulations. ABC can demonstrate impartiality through the following;

- (1) Alpha shall follow the guidance set out in Professional conduct rules for registered building control approvers.
- (2) Alpha shall be responsible for the impartiality of its inspection activities and shall not allow commercial, financial or other pressures to compromise impartiality.
- (3) Alpha Directors will always ensure they do not hold office that would conflict with their position as Directors.
- (4) Where Alpha require third party expertise, Structural engineer, Fire engineer etc, that third party will not be an organisation that was involved in the design.
- (5) Alpha employees, both internal and external, shall act impartially when executing their responsibilities and follow the code of conduct for Registered Building Inspectors.
- (6) The complaints handling policy allows the escalation of potential breaches of impartiality.
- (7) Alpha shall have a whistleblowing policy in place.

Alphas management system has the necessary procedures, safeguards, and tools to ensure that these policies are strictly upheld and enforced.

Identifying risks

ABC will identify risks on an ongoing basis. The identification of risk is a collective responsibility across the company. All employees of Alpha shall act impartially.

Any risks identified through the audit process will be raised by relevant bodies etc.

Review of Policy and Procedure

Alpha has in place an ISO9001:2015 quality management system. The policy and procedures are to be reviewed regularly through the QMS system and audit process to ensure the policy and procedure are fit for purpose and robustly monitored, internally and externally.

Conflict of Interest

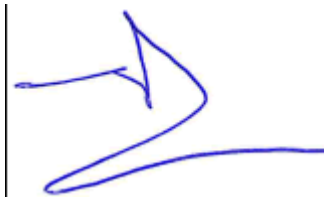
All employees must declare any potential conflict of interest. Alpha has a conflict of Interest Policy in place required by Professional conduct rules for registered building control approvers standard 2.10. This can be found within our policies Shared area.

Complaints

A complaint can be made where the Independence of the Building Control Function is not being maintained. Please refer to Alphas Complaints Handling Procedure (CHP)

Approval

This Independence Policy has been approved by



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